

**STATE OF RHODE ISLAND
BEFORE THE RHODE ISLAND ETHICS COMMISSION**

**In re: Ralph A. Palumbo,
Respondent**

Complaint No. 2018-14

ORDER

This matter having been heard before the Rhode Island Ethics Commission on February 5, 2019, pursuant to 520-RICR-00-00-3.16 Informal Resolution (1011), and the Commission having considered the Complaint herein, the Respondent's Answer, the arguments of counsel, and the proposed Information Resolution and Settlement, which is incorporated by reference herein, it is hereby

ORDERED, ADJUDGED AND DECREED

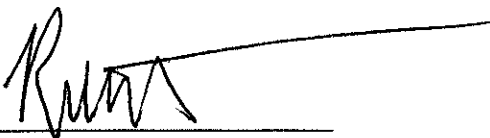
THAT, the Commission approves the Informal Resolution and Settlement as submitted;

THAT, the Commission incorporates by reference herein the Findings of Fact and Admissions, and the Conclusions of Law, set forth in the Informal Resolution and Settlement;

THAT, the Respondent failed to timely file his 2017 Financial Disclosure Statement with the Ethics Commission as required by Rhode Island General Laws § 36-14-16(b);

THAT, the Respondent is ordered to pay a civil penalty in the amount of One Hundred Dollars (\$100.00).

ENTERED as an Order of this Commission,



Chairperson

Dated: February 5, 2019

HAND DELIVERED

STATE OF RHODE ISLAND
BEFORE THE RHODE ISLAND ETHICS COMMISSION

In re: Ralph A. Palumbo,
Respondent

Complaint No. 2018-14

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INFORMAL RESOLUTION AND SETTLEMENT

The Respondent, Ralph A. Palumbo, and the Rhode Island Ethics Commission (“Commission”) hereby agree to a resolution of the above-referenced matter as follows:

I. FINDINGS OF FACT & ADMISSIONS

1. The Respondent is a member of the Board of Directors for the Rhode Island Health and Educational Building Corporation (“RIHEBC”), having been appointed thereto by former Governor Lincoln Chafee on December 31, 2014.

2. On September 21, 2018, the Commission received official notice and confirmation of the Respondent’s status as a member of the Board of Directors for the RIHEBC from the Administrative Assistant at the RIHEBC at the direction of its Director, Robert Donovan. Prior to this date, the Commission had not received notification of the Respondent’s appointment by his appointing authority and, therefore, did not mail financial disclosure statements and notice thereof to the Respondent for the years 2014 through 2016.

3. On September 21, 2018, the Commission mailed the Respondent the 2017 Financial Disclosure Statement (“2017 Statement”), which reflected a filing deadline of October 22, 2018.

4. On December 5, 2018, the Commission mailed the Respondent a reminder notice that it had not received his 2017 Statement.

5. The Respondent filed his 2017 Statement with the Commission on January 2, 2019, subsequent to the filing of the instant Complaint.

6. On January 14, 2019, the Respondent filed his 2014-2016 Statements with the

Commission.

II. CONCLUSIONS OF LAW

1. As a state appointed official holding office during calendar year 2017, the Respondent was required to timely file a 2017 Statement with the Commission pursuant to R.I. Gen. Laws § 36-14-16(b).

2. The Respondent's January 2, 2019 filing of his 2017 Statement with the Commission was beyond the time frame required by R.I. Gen. Laws § 36-14-16(b).

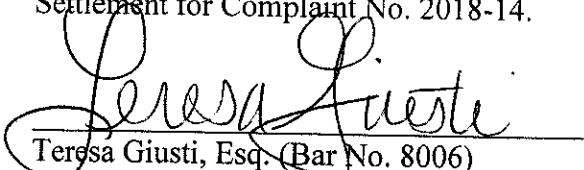
III. SETTLEMENT

Pursuant to the above Findings of Fact and Conclusions of Law, the parties hereby agree, subject to the approval of the Commission, to the following, pursuant to R.I. Gen. Laws § 36-14-13(d) and 520-RICR-00-00-3.16 Informal Disposition (1011):

1. The Commission shall enter an Order and Judgment that the Respondent failed to timely file his 2017 Statement with the Commission as required by R.I. Gen. Laws § 36-14-16(b).

2. The Prosecution will recommend, and the Respondent agrees to pay, a civil penalty in the amount of One Hundred Dollars (\$100.00).

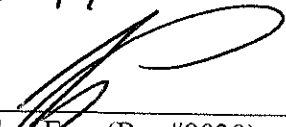
3. The above terms represent the full and complete Informal Resolution and Settlement for Complaint No. 2018-14.


Teresa Giusti, Esq. (Bar No. 8006)
Commission Prosecutor

Dated: 1/16/19


Ralph A. Palumbo
Respondent

Dated: 1-16-19


Kyle P. Palumbo, Esq. (Bar #9030)
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